



Loop Capital Markets, LLC  
 Rule 11Ac1-6 Quarterly Report  
 October 1, 2009 to December 31, 2009

	NYSE	NASDAQ	Regional Exchange	Options	Total
October	2,921	2,017	22	0	4,960
November	4,882	1,558	1	0	6,441
December	4,930	1,794	61	0	6,785
<b>Total</b>	<b>12,733</b>	<b>5,369</b>	<b>84</b>	<b>0</b>	<b>18,186</b>

**Summary Statistics**

Percentage of customer orders that were non-directed	100.00%
Percentage of non-directed orders that were market orders	54.92%
Percentage of non-directed orders that were limit orders	45.08%
Percentage of non-directed orders that were other orders	0.00%

**Venues Receiving Significant Percentage of Total Non-Directed Orders:**

1. NYSE	70.02%
2. NASDAQ	29.52%
3. American/Regional Exchanges	0.46%
4. Options	0.00%

**Information Concerning Significant Venues:**

**1. NYSE**

**Types of Orders Routed to Venue:**

Percentage of customer orders that were non-directed	100.00%
Percentage of non-directed orders that were market orders	51.14%
Percentage of non-directed orders that were limit orders	48.86%
Percentage of non-directed orders that were other orders	0.00%

**Material Aspects of Relationship with Venue:**

None

**2. NASDAQ**

**Types of Orders Routed to Venue:**

Percentage of customer orders that were non-directed	100.00%
Percentage of non-directed orders that were market orders	64.28%
Percentage of non-directed orders that were limit orders	35.72%
Percentage of non-directed orders that were other orders	0.00%

**Material Aspects of Relationship with Venue:**

None

**3. American Stock Exchange/Regional Exchanges**

**Types of Orders Routed to Venue:**

Percentage of customer orders that were non-directed	100.00%
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Percentage of non-directed orders that were market orders	28.57%
Percentage of non-directed orders that were limit orders	71.43%
Percentage of non-directed orders that were other orders	0.00%

**Material Aspects of Relationship with Venue:**

*Payment for order flow relationship with the Chicago Stock Exchange. The rebates are determined by the type of security and range from \$.01 per share to \$.0225 per share.*

**4. Options**

**Types of Orders Routed to Venue:**

Percentage of customer orders that were non-directed	NA
Percentage of non-directed orders that were market orders	0.00%
Percentage of non-directed orders that were limit orders	0.00%
Percentage of non-directed orders that were other orders	0.00%

**Material Aspects of Relationship with Venue:**

*None*